

FOUR YEAR UNDERGRADUATE PROGRAM(2024–28)  
Department of Commerce and Management

## COURSE CURRICULUM

<b>PART-A: Introduction</b>			
<b>Program:</b> Bachelor in Business Administration (Certificate / Diploma / Degree/Honors)		<b>Semester-VI</b>	<b>Session:2024-2027</b>
1	CourseCode	BBSE -04	
2	CourseTitle	Elective B – Finance: Financial Institutions & Markets	
	CourseType	Discipline Specific Elective (DSE)	
4	Pre-requisite(if,any)	Asper requirement	
5	Course Learning Outcomes(CLO)	<ul style="list-style-type: none"> <li>➤ To understand the concept of financial market.</li> <li>➤ To identify the nature and various types of financial markets in India.</li> <li>➤ To examine the various aspects, types and functioning of different parts of various financial market.</li> <li>➤ To analyze the linkages of the different classifications of financial market and their effect on financial system.</li> <li>➤ To develop conceptual understanding about Indian financial system.</li> <li>➤ To get a clear understanding about financial institution &amp; its instruments.</li> <li>➤ To get an overview of financial services and regulatory framework relating to Merchant Banking in India.</li> </ul>	
6	Credit Value	4 Credits	Credit=15Hours-learning&Observation
7	Total Marks	Max.Marks: 100	Min Passing Marks: 40
<b>PART-B: Content of the Course</b>			
Total No. of Teaching-learning Periods (01 Hr. per period) – 60 Periods (60 Hours)			
Unit	Topics (Course contents)		No. of Period
I	<b>Indian Financial System:</b> Introduction, Evolution of Financial System In India, Overview of Financial System In India, Components of Financial System, Flow of Funds Matrix, Objectives of Financial System, Functions of Financial System, Financial System And Financial Market, Economic Development, Reforms In Indian Financial Sector.		15
II	<b>Financial Market:</b> Indian Money Market: An Overview of Indian Money Market,; Organisation Structure of Money Market in India, Functions of Indian Money Market, Instruments of Money Market, Defects of Indian Money Market, Role of Central Bank in Money Market, Recommendations of Reserve Bank of India; <b>Indian Capital Market:</b> Meaning, Features of Capital Market, Objectives of Capital Market, Functions of Capital Market, Capital Market Organization Structure, Capital Market Institutions, Capital Market Instruments, Debt Market in India, Indian Equity Market.		15
III	<b>Primary and Secondary Market:</b> Primary Market; New Issues Market, Initial Public Offer (IPO), Offer for Sale, Private Placement Method, Rights Issue, Bonus Issue, Tender Method, Book Building, Intermediaries in Primary Market. <b>Secondary Market:</b> Components of Secondary Market, Characteristic Features of Secondary Market, Advantages and Disadvantages of Secondary Market, Functions of Secondary Market, Role of Secondary Market, SEBI In Secondary Market.		15
IV	<b>Financial Institutions:</b> Commercial Banking – Its role in project finance and Working Capital Finance; Development Financial Institutions (DFIs) – An overview and role in Indian economy; Life and non-life insurance companies in India; Mutual Funds – Introduction and their role in Capital Market Development. Non-Banking Financial Companies (NBFCs); Stock Exchange Board of India;		15

	Constitution of SEBI, Reasons for Establishment of SEBI, Purpose And Role of SEBI, Objectives of SEBI, Functions of SEBI, Powers of SEBI, SEBI Guidelines for Eligibility Norms, Rights Issue, SEBI Guidelines about Book Building, SEBI Regulations with Respect to Green Shoe Option. Stock Exchange: Importance & Functions of a Stock Exchange, Major Stock Exchanges In India, Trading Procedures in a Stock Exchange.	
<b>Keywords</b>	<i>Financial Market, Primary Market, Secondary Market, Financial Institutions.</i>	
<b>PART-C: Learning Resources</b>		
Text Books, Reference Books and Others		
<ol style="list-style-type: none"> <li>1. Jaiswal B. &amp; Venkatraman Bhuvana.- <i>Financial Market, Institutions &amp; Financial Services</i></li> <li>2. Subhash Chandra Das- <i>The Financial System in India</i></li> <li>3. Jaiswal B. &amp; Venkatraman Bhuvana.- <i>Indian Financial System</i></li> <li>4. K Sasidharan. Alex K Mathews- <i>Financial Services and System</i></li> <li>5. V A Avadhani- <i>Financial Services in India</i></li> <li>6. Khan, M. Y., <i>Indian Financial Systems, McGraw Hill, 11th Edition (2019)</i></li> <li>7. Dhanekar, <i>Pricing of Securities, New Delhi: Bharat Publishing House.</i></li> <li>8. Clifford Gomez, <i>Financial Markets, Institutions and Financial Services, PHI Learning.</i></li> <li>9. Pathak, Bharti V., <i>Indian Financial System, Pearson Education</i></li> <li>10. Prasanna, Chandra, <i>Financial Management: Theory and Practice, McGraw-Hill Education.</i></li> </ol>		
Online Resources– <a href="https://library.ccis.edu/finance/markets&amp;ved">https://library.ccis.edu/finance/markets&amp;ved</a>		
<b>PART-D: Assessment and Evaluation</b>		
Suggested Continuous Evaluation Methods:		
Maximum Marks: 100 Marks		
Continuous Internal Assessment (CIA): 30 Marks		
End Semester Exam (ESE): 70 Marks		
Continuous Internal Assessment (CIA): (By Course Teacher)	Internal Test/Quiz-(2): 20 & 20 Assignment/Seminar- 10 Total Marks- 30	Better marks out of the two Test/ Quiz + obtained marks in Assignments shall be considered against 30 Marks
End Semester Exam (ESE):	Two section– A & B Section A: Q1. Objective–10x1=10 Mark; Q2. Short answer type–5x4=20 Marks Section B: Descriptive answer type qts., 1 out of 2 from each unit–4x10=40 Marks	

Name and Signature of Convenor & Members: (CBOS)